

OSC

Finance Pty Ltd

Established 2016

Advisory Account Application Form

Risk Disclosure: Trading financial instruments, stocks, derivatives, and other negotiable securities carries high risks, including the risk of losing some or all of your investment, and may not be suitable for all investors. Prices are extremely volatile and can be affected by external factors such as financial, regulatory, or political events. Before deciding to trade financial instruments, derivative shares, and other negotiable securities, be fully aware of the risks and costs associated with trading on the markets. Consider your investment goals, experience level, and risk appetite, and seek professional advice where needed.

SECTION A

Account Holder Information

Please fill out all of the information below. Help us by providing as much information as you can. The more information you are able to share, the more we will be able to match our investment service to your requirements. OSC Finance Pty Ltd. respects your privacy, we will only use the information listed below to service your account.

<input type="checkbox"/> Individual Account	<input type="checkbox"/> Joint Account	<input type="checkbox"/> Corporate Account	Company Name <i>(Corporate Accounts Only)</i>
			<input type="text"/>
Title	Forename(s)	Surname / Family Name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Date of Birth	Nationality	Country of Birth	
<input type="text"/> <small>DD</small>	<input type="text"/>	<input type="text"/>	
<input type="text"/> <small>MM</small>			
<input type="text"/> <small>YYYY</small>			
Gender	Country of Residence	Marital Status	
<input type="text"/> <small>M</small>	<input type="text"/>	<input type="text"/>	
<input type="text"/> <small>F</small>			

SECTION B

Contact & Employment Information

Let us know where you live and how we can get in touch. We need at least one contact number, in case we need to talk to you about your application, documentation or accounts. Please note: PO Box, 'care of' addresses are not permitted.

CONTACT DETAILS & RESIDENTIAL ADDRESS

Home Telephone Number

Mobile Telephone Number

Email Address

Address Line 01

Address Line 02

Address Line 03 (Optional)

Postal Code / Zip Code

EMPLOYMENT INFORMATION

Work Telephone Number

Work Email Address

Employment Status

Occupation

Industry

Employer / Business Name

Years Employed

SECTION C

Securities Industry Affiliation

This information is required by law and must be completed in full. Immediate family is defined as any child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, daughter-in-law, son-in-law, brother-in-law or sister-in-law if living in the same household, Includes adoptive relationships.

- Yes No 1. A director, 10% shareholder or policymaking officer of any publicly-traded company? If yes, enter the symbol
- Yes No 2. Employed by the Financial Industry Regulatory Authority, a broker/dealer, investment advisor or another financial institution?
- Yes No 3. A senior officer of a bank, savings and loan, insurance company, registered investment advisory firm, registered investment company or a person who could influence the trading of investments in an institutional-type account?

Name of Individual

Name of Firm / Employer

Relationship to This Person

SECTION D

Know Your Client (KYC)

Know Your Client (KYC) procedures are a critical function to assess client risk and a legal requirement to comply with Anti-Money Laundering (AML) laws. We are required to know our client's identity, their financial activities and assess money laundering risks associated. For more information on our KYC policy, please speak to your account broker.

INVESTMENT EXPERIENCE

Are you accustomed to making your own investment decisions?

- Yes
- No
- Financial Advisor

INVESTMENT KNOWLEDGE

Please tick any of the following matches your knowledge.

- Limited Knowledge
- Good Understanding
- Experienced Investor

INVESTMENT STRATEGY

Please tick any of the following matches your main objective.

- Capital Growth
- Capital Preservation
- Capital Income

RISK PROFILE

Please select one of the following which matches your circumstances.

- Low Risk
- Moderate Risk
- Aggressive Risk

RELEVANT INVESTMENTS

Please tick any of the following investments you consider yourself to be familiar with from your previous investment experience:

- Equities
- Property Funds
- Hedge Funds
- Structured Products
- Venture Capital / Private Equity
- Warrants / Futures / Options
- None

SELECT YOUR CURRENCY

Please select one of the following currencies for the below sections.

- USD EUR GBP CHF AUD CAD

ANNUAL INCOME

- 25,000 - 50,000
- 50,000 - 100,000
- 100,000 - 250,000
- 250,000 - 500,000
- 500,000 - 1,000,000
- 1,000,000+

LIQUID NET WORTH

- 50,000 - 100,000
- 100,000 - 250,000
- 250,000 - 500,000
- 500,000 - 1,000,000
- 1,000,000 - 3,000,000
- 3,000,000+

TOTAL NET WORTH

- 50,000 - 100,000
- 100,000 - 500,000
- 500,000 - 1,000,000
- 1,000,000 - 3,000,000
- 3,000,000 - 5,000,000
- 5,000,000+

Anti-Money Laundering Compliance Form



Australian Government
AUSTRAC

FIGHTING
FINANCIAL
CRIME
TOGETHER

The Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act) is the main piece of Australian government legislation that regulates AUSTRAC's functions.

If you provide one or more designated services as prescribed in the AML/CTF Act, you must enrol with AUSTRAC and comply with the obligations set out in the AML/CTF Act. You must also register with AUSTRAC if the designated service you provide includes remittance service or digital currency exchange service.

AUSTRAC regulates certain business activities in the financial services, bullion, gambling and digital currency exchange sectors. These business activities are called designated services and have been identified because they pose a risk for money laundering and terrorism financing.

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PART 1 - Client personal details

Nationality: Complete only if the client is a non-Australian national OR holds dual nationality.

1.1 Primary Nationality

1.2 Dual Nationality (If applicable)

Employment: Provide information about the clients' employment status, occupation, and role.

1.3 Employment Status

1.4 Employment Role If 'Other' is selected please confirm Employment Role

1.5 Occupation Industry If 'Other' is selected please confirm Occupation Industry

PART 2 -Source of Funds (SoF) and Source of Wealth (SoW)

Source of Funds: Provide the source of funds from which the money has been obtained. This is not the bank account where the money has come from.

Source of Wealth: Specify the origin of the accumulated funds.

2.1 SoF Primary Origin SoF Secondary Origin (If applicable)

2.2 SoW Primary Origin SoW Secondary Origin (If applicable)

Please only complete if any part of the SoF/SoW originates from a source outside of Australia.

2.3 Country

2.4 Additional Countries (if applicable)

SECTION E

Joint Account | Holder Information

For our Joint Account holders, please fill out all of the information below. Help us by providing as much information as you can. The more information you are able to share, the more we will be able to match our investment service to your requirements. OSC Finance Pty Ltd. your privacy, we will only use the information listed below to service your account.

<input type="checkbox"/> Individual Account	<input type="checkbox"/> Joint Account	<input type="checkbox"/> Corporate Account	Company Name <i>(Corporate Accounts Only)</i>
			<input type="text"/>
Title	Forename(s)	Surname / Family Name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Date of Birth	Nationality	Country of Birth	
<input type="text"/> <small>DD</small>	<input type="text"/>	<input type="text"/>	
<input type="text"/> <small>MM</small>			
<input type="text"/> <small>YYYY</small>			
Gender	Country of Residence	Marital Status	
<input type="text"/> <small>M</small>	<input type="text"/>	<input type="text"/>	
<input type="text"/> <small>F</small>			

SECTION F

Joint Account | Contact & Employment Information

For our Joint Account holders, let us know where you live and how we can get in touch. We need at least one contact number, in case we need to talk to you about your application, documentation or accounts. Please note: PO Box, 'care of' addresses are not permitted.

CONTACT DETAILS & RESIDENTIAL ADDRESS

Home Telephone Number

Mobile Telephone Number

Email Address

Address Line 01

Address Line 02

Address Line 03 (Optional)

Postal Code / Zip Code

EMPLOYMENT INFORMATION

Work Telephone Number

Work Email Address

Employment Status

Occupation

Industry

Employer / Business Name

Years Employed

SECTION G

Terms And Conditions

This section contains important terms and conditions that apply to and constitute the agreement on all Accounts. Please read this document carefully and retain it for future reference.

1 Interpretation

1.1. In this Agreement, unless the context otherwise requires, the following terms shall bear the following meanings:

- ❖ "Account" means the securities trading account opened and maintained by OSC Finance Pty Ltd. pursuant to this Agreement on your behalf;
- ❖ "Agents" means all agents, associates, affiliates, information service providers, providers of execution facilities and providers of other financial products;
- ❖ "Agreement" means this agreement between the parties including the account opening form and, where effective, the appendix;
- ❖ "Available Funds" means any cash or Securities in the Account, which are not subject to any lien or actual liability;
- ❖ "Information Provider" means any third party, which provides information including any stock, futures or commodities exchange or information vendor whose information is contained in the Service;
- ❖ "Instruction" means any instruction given by you for the buying or selling of any Securities;
- ❖ "Minimum Balance Requirement" means the minimum positive balance of cash, or of cash and Securities, which OSC Finance Pty Ltd. may from time to time require you to maintain in the Account;
- ❖ "Other Market" means any market or exchange in which you instruct OSC Finance Pty Ltd. to transact Securities on your behalf
- ❖ "Service" means the facility provided by OSC Finance Pty Ltd. which enables you to give electronic instructions to purchase, sell and otherwise deal with Securities and information service;
- ❖ "Securities" means shares, stocks, bonds, debentures, warrants, options, certificates of deposit and other securities of every type and description and other property and investments;
- ❖ "Transaction" means an executed Instruction;

1.2. In this Agreement, words importing the singular include the plural and vice versa and words importing gender include any other gender.

2 Opening an Account: You warrant and represent that all information you supply in your account application (and other information OSC Finance Pty Ltd. may require) is complete, true, up-to-date and accurate. OSC Finance Pty Ltd. is entitled to rely on such information until it has received written notice from you of any changes. You undertake to and will advise OSC Finance Pty Ltd. forthwith of any changes to such information. You expressly authorize OSC Finance Pty Ltd. (if necessary) to obtain references from the banks named in the account application, and to conduct OSC Finance Pty Ltd. (if necessary) a credit checks on you for the purpose of ascertaining your financial situation and investment objectives Joint Account.

3

3.1. If any Account is opened in joint names, such Account shall be held as either a joint tenant with right of survivorship, and not tenants in common. In the event of the death of any of the joint account holders, their entire interest in any account opened shall be vested in the survivor or survivors on the same terms as previously held. The estate of the deceased shall have no interest in the assets of any such account at the date of death or in the operation of any such account thereafter. However, the estate of the deceased shall remain liable for obligations in respect of such account; or B tenants in common, each of the joint account holders having an undivided interest in any account opened on their behalf. In the event of the death of any of the joint account holders, OSC Finance Pty Ltd. may in its sole discretion, either liquidate any such account or accept the instructions of the survivor, or a majority of the survivors as the case may be, as to its continuance and as to the respective interests of the parties, including the estate of the deceased, therein. In either event, the deceased's estate shall remain liable for obligations in respect of such account.

3.2. Whether the joint account holders are joint tenants or tenants in common, their liability shall be joint and several. In the event of the death of any of the joint account holders, the estate of the deceased shall, in the case of a joint tenancy, remain so liable in respect of any obligations, debit balances or losses incurred before or existing at the death of the deceased in respect of any account opened on their behalf and, in the case of a tenancy in common, together with the survivor or survivors continue to remain so liable in respect of any obligations, debit balances or losses (including those incurred in the liquidation of the account) until termination of the account.

3.3. The joint account holders undertake to give OSC Finance Pty Ltd. immediate notice in writing of the death of any of them. In the event of such death, OSC Finance Pty Ltd. may (before or after receiving such notice, and before or after releasing any property held or carried for any purpose by it in any account opened on their behalf) take such steps, require such documents, retain such portion and restrict transactions in any such account as it shall in its sole discretion deem necessary, advisable or desirable to protect its interests with respect to any tax, liability, penalty or loss under any present or future law or otherwise. The joint account holders agree that any tax or other expense becoming a lien against or being payable out of any account opened on their behalf as the result of the death of any one of them, or through the exercise by the deceased's estate of any rights in such account, shall be chargeable against the interest(s) of the survivor or survivors as well as against the interest of the deceased's estate.

3.4. The joint account holders ratify and confirm all transactions previously entered into by any of them in any account opened on their behalf. This term shall be binding on each of them and on each of their respective heirs, successors, legal representatives and assigns.

4 Investment Advice: You acknowledge that OSC Finance Pty Ltd. provides the Service and that it will provide you with legal, tax or accounting advice or advice regarding the suitability or profitability of any Security. You also acknowledge that OSC Finance Pty Ltd. employees are authorized to give such advice. You agree that you will, independently and without reliance on OSC Finance Pty Ltd., make your own judgments and decisions with respect to each Instruction. You assume full responsibility for Transactions in or for the Account and for your investment decisions. You acknowledge and agree that OSC Finance Pty Ltd. and its officers, partners, managers, employees and Agents will have no liability with respect to Transactions in or for the Account or for your investment decisions.

5 Instructions: You shall from time to time instruct the sale and/or purchase of Securities on your behalf, either through the Service or verbally or in writing. On receipt of such Instructions, OSC Finance Pty Ltd. shall so far as it considers it reasonably practicable sell and/or purchase Securities in accordance with those Instructions, provided always that OSC Finance Pty Ltd. shall have an absolute discretion to accept or reject sale and/or purchase Instructions.

- 6 Agents and Counter Parties: OSC Finance Pty Ltd. is authorized to employ Agents to perform all or part of its duties under this Agreement and to provide information regarding the Account to such Agents. OSC Finance Pty Ltd. may purchase and/or sell Securities on your behalf by placing instructions with itself and any other company or client associated with it, whether acting as underwriters, investment managers, merchant or commercial banks, registered or licensed deposit takers, brokers, dealers or otherwise, or with any other brokers or dealers, as OSC Finance Pty Ltd. in its sole discretion decides.
- 7 OSC Finance Pty Ltd. as Agent: You understand that OSC Finance Pty Ltd. is acting as your agent unless it notifies you, electronically or in writing, before the settlement date for the Transaction that it is acting as a dealer for its own account or as agent for a third party.
- 8 Custody: Any securities deposited by you with OSC Finance Pty Ltd. or purchased by OSC Finance Pty Ltd. on your behalf, and held by OSC Finance Pty Ltd. for safe keeping may, at OSC Finance Pty Ltd. discretion on either: (A) registered in your names or in the name of OSC Finance Pty Ltd. nominee; or (B) deposited in safe custody in a designated account of OSC Finance Pty Ltd. banker or with another institution. OSC Finance Pty Ltd. is also authorized to deposit any of your Securities in unregistered form with any depository selected by it and to deposit any of your Securities in registered form in a designated account of its banker or with another institution facilities for the safe custody of documents. If you call for delivery of any Securities, OSC Finance Pty Ltd. is not bound to deliver to you Securities identical to your Securities held by it or in its name or the name of any of its Agents or nominees.
- 9 OSC Finance Pty Ltd. shall not, without your prior consent, deposit any of your Securities as security for loans or advances or lend or otherwise part with the possession of any such Securities for any purpose. If, in relation to any Securities deposited with OSC Finance Pty Ltd. Which are not registered in your name, any dividends or other distributions or benefits accrue in respect of such Securities, your Account shall be credited, or payment shall be made to you as may be agreed, with the proportion of such benefit equal to the proportion of the total number or amount of Securities which shall comprise Securities held on your behalf. Consolidation, Disaggregation and Prioritization of Orders: You authorize OSC Finance Pty Ltd. at any time and in its sole discretion, for the purpose of obtaining a better execution price and/or reducing the volume of instructions, to consolidate and/or disaggregate Instructions to purchase and/or sell Securities on your behalf with similar instructions received from other clients, Provided that such consolidation or disaggregation shall not result in the execution of your Instructions at a price less favourable than could have been achieved had your Instructions been executed individually, and Provided further that, in the event of there being insufficient Securities available to satisfy purchase orders so consolidated, the number of Securities actually purchased shall be divided proportionately between the individual instructions which were consolidated. You acknowledge and agree that OSC Finance Pty Ltd. and or its Agents may at any time prioritize instructions for best execution pricing.
- 10 Inventory Calls: You agree that OSC Finance Pty Ltd. may contact you in the event that it receives instructions from another client to purchase Securities which you own and, after checking all sources from which such Securities may be available, OSC Finance Pty Ltd. has been unable to locate any such Securities available, Provided always that in respect of any consequent Transaction.
- 11 OSC Finance Pty Ltd. complies with the relevant reporting rules and regulations of the market or exchange in which such Transaction is executed.
- 11.1. Other Markets: You acknowledge that any Instructions to transact Securities on your behalf on Other Markets may be executed by OSC Finance Pty Ltd. and/or its Agents in the local currencies of those Other Markets. You further acknowledge that, in order to execute such Instructions, OSC Finance Pty Ltd. and/or its Agents may be required to enter into foreign exchange contracts on your behalf, which may exceed the value of the Transaction and for which you undertake to be responsible. You also understand and agree that OSC Finance Pty Ltd. will charge a fee on top in the event of currencies exchange.
- 12 Fees and Charges: You shall pay, either directly or from the Account, all levies, duties, commissions, brokerage fees, tariffs, exchange fees, information license fees, account communication charges, maintenance fees, interest, special rights administration fees, forced settlement costs, penalties, telegraphic transfer charges, custodial fees, settlement charges, account rotation charges, change of account fees, currency exchange costs, taxes, subscriptions, insurance service fees, insurance premiums, foreign exchange losses, legal expenses and all and any other costs or expenses, whether incidental or material, properly incurred by OSC Finance Pty Ltd. in connection with your use of the Service and trading on the Account. You acknowledge that, in some circumstances, OSC Finance Pty Ltd. may receive from Agents rebates in respect of commission on Transactions. You agree that OSC Finance Pty Ltd. shall be entitled to keep any such rebates and that you shall have no right to benefit from them in any way.
- 13 Interest: You agree to pay interest, which shall accrue daily and be calculated and payable on the last day of each calendar month or upon any demand being made by OSC Finance Pty Ltd., on all overdue balances owing by you to OSC Finance Pty Ltd. (after as well as before any judgment). In the case of overdue balances, the rates shall not exceed the prevailing best lending rate plus eight per cent (8.0%). In all other cases, the rate shall not exceed eight per cent (8.0%) above the cost to OSC Finance Pty Ltd. of funding the relevant overdue amount.
- 14 Lien: Any and all Securities acquired for and on your behalf or in which you have an interest which are held for the Account shall be subject to a general lien for the discharge of your obligations to OSC Finance Pty Ltd. arising from your trading in Securities. Set-off: In addition, and without prejudice to any general lien or similar right to which OSC Finance Pty Ltd. may be entitled by law.
- 15 OSC Finance Pty Ltd. shall be entitled at any time and without prior notice to:
- 15.1. Apply any credit balance in any currency to which you are any time beneficially entitled on any account with OSC Finance Pty Ltd. or any of its Agents.
- 15.2. Set off any of OSC Finance Pty Ltd. liability to you in or towards the satisfaction of all or any of your liabilities to OSC Finance Pty Ltd. under this Agreement (whether actual or contingent) including all commissions, costs, charges or expenses payable to OSC Finance Pty Ltd. hereunder. If such credit balance and/or liability is insufficient, OSC Finance Pty Ltd. shall have the right to sell any Securities held by it or any of its Agents on your behalf and to utilize the proceeds to offset and discharge all or part of your liabilities to OSC Finance Pty Ltd, regardless of whether any other person is interested in such Securities. OSC Finance Pty Ltd. its partners, managers, officers, employees and Agents shall have no liability for any loss thereby suffered unless such liability or loss is suffered as a result of OSC Finance Pty Ltd. gross negligence or wilful default and you will not make any claim concerning the manner or timing of such sale. OSC Finance Pty Ltd. shall be entitled at any time without notice to combine and/or consolidate all or any of your accounts with OSC Finance Pty Ltd. and any of its Agents. In respect of any payments by OSC Finance Pty Ltd. to offset and discharge any of your liabilities to any of its Agents, OSC Finance Pty Ltd. shall not be concerned whether or not such liabilities exist provided demand has been made on OSC Finance Pty Ltd. by such Agent(s).

- 16 Disclosure: OSC Finance Pty Ltd. may disclose information and details relating to you or Transactions on the Account to any 17 18 19 20 21 department or agency of any government or public body, upon request to assist any of them with any investigation or enquiry it is undertaking, whether or not such request is legally enforceable, and you agree that OSC Finance Pty Ltd. will have no liability to you for so doing.
- 17 Indemnities: You agree to indemnify and keep indemnified OSC Finance Pty Ltd. and its partners, managers, officers, employees and Agents for any loss, cost, claim, liability or expense arising out of or in connection with any breach by you of any of your obligations under this Agreement, including any costs reasonably incurred by OSC Finance Pty Ltd. in connection with the closure of the Account or in collecting any debts due to OSC Finance Pty Ltd.
- 18 Settlement Failure: In the event that, following the failure of the selling broker to deliver on the settlement date, OSC Finance Pty Ltd. has to obtain in the open market Securities which it has purchased on your behalf, OSC Finance Pty Ltd. will be responsible for any difference in price and all incidental expenses in connection with such open market purchase.
- 19 Exclusion of Liability: Neither OSC Finance Pty Ltd. and its partners, managers, officers, employees and Agents nor any Information Provider shall be liable to you for any loss, damage or litigation costs resulting from any error of fact or judgment, or from action taken or inaction or omission or default by OSC Finance Pty Ltd. or any of its partners, managers, officers, employees and Agents, or from any consequences thereof of whatsoever, save and except where such loss, damage or cost is suffered or incurred as a direct result of its gross negligence or wilful default.
- You further agree that neither OSC Finance Pty Ltd. and its partners, managers, officers, employees and Agents nor any Information Provider will be liable for any indirect or other consequential losses or other economic losses (including but not limited to loss of profits, trading losses or special damages) whether arising from negligence, breach of contract or otherwise (including without limitation inconvenience, delay loss of use of the Service), even if OSC Finance Pty Ltd. has been advised of the possibility of such losses or damages.
- 20 Restrictions on Trading: You understand that OSC Finance Pty Ltd. may at any time, in its sole discretion and without prior notice to you, suspend, prohibit or restrict your ability to give Instructions or to substitute Securities in the Account.
- 21 Closure of Account: OSC Finance Pty Ltd. agrees that, subject to satisfying any indebtedness to it, you may close the Account at any time by giving OSC Finance Pty Ltd. written notice. You agree that OSC Finance Pty Ltd. may close the Account at any time and for any reason. Closing the Account will not affect the rights and obligations of either party incurred prior to the date the Account is closed.
- 22 Market Data: You understand that each Information Provider asserts a proprietary interest in all of the information it furnishes to the parties who disseminate such data. You also understand that no party guarantees the timeliness, sequence, accuracy or completeness of market data or any other information. Neither OSC Finance Pty Ltd, Information Providers nor any disseminating party make any representations or warranties concerning any information contained in the Service, including warranties as to satisfactory quality and fitness for a particular purpose, accuracy or completeness, or that the Service will be uninterrupted or error free. Neither OSC Finance Pty Ltd. nor any disseminating party nor Information Provider shall be liable in any way and you agree to indemnify and keep indemnified OSC Finance Pty Ltd. and such disseminating party for any loss or damage arising from or caused by any inaccuracy, error or delay in or omission from any such data, information or message, or the transmission or delivery of the same, non-performance or interruption of any such data, message or information due to any negligent act of OSC Finance Pty Ltd. or any disseminating party, or to any force majeure event, or any other cause beyond OSC Finance Pty Ltd. reasonable control or the reasonable control of any disseminating party. You shall use real time quotes and market data for your individual use only and shall not furnish such data to any other person or entity for any reason.
- 23 Force Majeure: You agree that OSC Finance Pty Ltd. and its partners, managers, officers, employees and Agents will not be liable for any delay or failure to perform any obligation on its part or for any losses caused directly or indirectly by any condition or circumstances over which OSC Finance Pty Ltd, its partners, managers, officers, employees and Agents do not have direct control, including but not limited to government restriction, exchange or market rulings, suspension of trading, failure of electronic or mechanical equipment or communication lines, telephone or other interconnect problems, unauthorized access, theft, war (whether declared or not), severe weather, earthquakes and strikes.
- 24 Internet Service: You agree to use the Service only in accordance with the terms of this Agreement. Any additional services offered through the Service in the future will only be used by you in accordance with the terms of this Agreement. You agree that you shall be the only authorized user of the Service under this Agreement. You acknowledge that the Service and OSC Finance Pty Ltd. Web site, and the software comprised in them, are proprietary to OSC Finance Pty Ltd. You warrant and undertake that you shall not, and shall not attempt to, tamper with, modify, decompile, reverse engineer or otherwise alter in any way, and shall not attempt to gain unauthorized access to, any part of the Service or OSC Finance Pty Ltd. Web site or any of the software comprised in them. You agree that OSC Finance Pty Ltd. shall be entitled to close the Account immediately without notice to you, and Terms and Condition (page 4 of 4) You acknowledge that OSC Finance Pty Ltd. may take legal action against you, if you at any time breach this warranty and undertaking or if OSC Finance Pty Ltd. at any time reasonably suspects that you have breached the same. You undertake to notify OSC Finance Pty Ltd. immediately if you become aware that any of the actions described above in this paragraph is being perpetrated by any other person.
- 25 Communication: You agree that, if you fail to notify OSC Finance Pty Ltd. as soon as practicable that an instruction has not been executed, neither OSC Finance Pty Ltd. nor its partners, managers, officers, employees or Agents will have any liability to you, or to any other person whose claim may arise through you, for any claims with respect to the handling, mishandling or loss of any Instruction. You agree that, should you experience any problems in reaching OSC Finance Pty Ltd. you will attempt to use the alternative methods to communicate with OSC Finance Pty Ltd. (whether by hard copy, electronic or verbal means) and inform OSC Finance Pty Ltd. of the difficulty you are experiencing. You understand and agree that, for the mutual protection of you and OSC Finance Pty Ltd. may electronically monitor or record any of your telephone conversations conducted with it.
- 26 Confirmations and Account Statements: OSC Finance Pty Ltd. shall record each Transaction of your trading account. Transactions shall, notwithstanding Clause 30, be binding on you unless you object there to in writing within two days after receipt of the relevant confirmation. In OSC Finance Pty Ltd. reserves the right to determine the validity of your objection to a Transaction.
- 27 Notices: Notices and other communications delivered to the mailing address provided by you for the purpose shall be deemed to have been personally delivered to you, in the case of mailing, two business days after posting.
- 28 Power of Attorney: You agree to and irrevocably appoint OSC Finance Pty Ltd. with full power as your true and lawful attorney in fact, to the full extent permitted by law, for the purpose of carrying out the provisions of this Agreement and taking any action and executing any instrument which OSC Finance Pty Ltd. deems necessary or advisable to accomplish the purposes of this Agreement.
- 29 Severability: If any provision of this Agreement shall be held to be illegal, invalid, void or unenforceable under the laws of any jurisdiction, the legality, validity and enforceability of the remainder of this Agreement in that jurisdiction shall not be affected, and the legality, validity and enforceability of the whole of this Agreement shall not be affected in any other jurisdiction.

- 30 Waiver: No failure to exercise nor any delay in the exercise of any right, power or remedy under this Agreement shall constitute a waiver thereof and no single or partial exercise of any such right, power or remedy shall prevent any further or other exercise thereof or the exercise of any other right, power or remedy.
- 31 Successors: You agree that this Agreement and all the terms hereof shall be binding on your heirs, executors, administrators, personal representatives and assigns. This Agreement shall endure for the benefit of OSC Finance Pty Ltd, its successors and assigns.
- 32 Assignment: OSC Finance Pty Ltd. may assign its rights and obligations under this Agreement to any of its subsidiaries or Agents without giving notice to you, or to any other entity upon prior written notice to you. You may not assign your rights and obligations hereunder without first obtaining OSC Finance Pty Ltd. consent in writing.
- 33 Headings: The heading of each provision of this Agreement is for descriptive purposes only and shall not be deemed to modify or qualify any of the rights or obligations set forth in each such provision.
- 34 Entire Understanding: This Agreement, together with all other written agreements between you and OSC Finance Pty Ltd. relating to the Account and terms contained on statements and confirmations sent to you, contains the entire understanding between you and OSC Finance Pty Ltd. concerning the subject matter of this Agreement.
- 35 Amendments: OSC Finance Pty Ltd. reserves the right unilaterally to alter, amend or modify the provisions of this Agreement and any such changes shall, on the giving of written notice thereof to you, be binding on you immediately. Except as specifically permitted in this Agreement, no provision of this Agreement can be, nor be deemed to be, waived, altered, modified or amended unless agreed to in writing signed by an authorized officer of OSC Finance Pty Ltd.

36 Risk disclosure statement:

36.1 Price Fluctuation: You acknowledge that the price of Securities can and does fluctuate, and that any individual Security can experience downward movements and may under some circumstances even become valueless. You appreciate therefore that there is an inherent risk that, as a result of buying and selling Securities, losses may be incurred rather than profit made. This is a risk you are prepared to accept.

36.2 Securities in Custody: You also acknowledge that there are risks associated with leaving Securities in OSC Finance Pty Ltd custody.

36.3 Inter net: You acknowledge that the Internet is, due to unpredictable traffic congestion and other reasons, an inherently unreliable medium of communication and that such unreliability is beyond the control of OSC Finance Pty Ltd. You acknowledge that, as a result of such unreliability, there may be delays in the transmission and receipt of Instructions and other information and that this may result in delays in the execution of Instructions and/or the execution of Instructions at prices different from those prevailing at the time the Instructions were given. You further acknowledge and agree that there are risks of misunderstanding or errors in any communication and that such risks shall be absolutely borne by you. OSC Finance Pty Ltd. shall not be responsible for delays in the transmission, receipt or execution of Instructions due to a breakdown or failure of transmission of communication facilities, or to any other cause or causes beyond OSC Finance Pty Ltd. control or anticipation. You also acknowledge and agree that it is not usually possible to change or cancel an Instruction after it has been given, and you agree to exercise caution before placing all orders. Any attempt you make to change or cancel an order is simply a "request" to change or cancel. Whilst OSC Finance Pty Ltd. will use its reasonable efforts to process your "request", it will not be liable to you if OSC Finance Pty Ltd. is unable to change or cancel the order. You confirm that you have read and accepted the terms of this Agreement (including the risk disclosure statements), which have been explained to you in a language, which you understand.

SECTION H

Signature

Please complete and sign this Application, along with any required supplemental forms identified through this application process.

To complete this Application, you will need to provide a copy of one document from each section:

- Section A: Identification information: Such as a copy of a driver's license, passport, or another type of government-issued identification
- Section B: Address Confirmation: A recent utility bill or copy of a bank statements showing the applicant's current address

The above information helps us comply with various investment regulations and rules including Anti Money Laundering (AML) Australia 2006 and the USA Patriot Act 2001, Anti Money Laundering, EU AMLA and Hong Kong Cap615 law 2012. That requires all investment firms to obtain, verify, and record information that identifies each applicant. The information also helps us more fully understand your investment profile and identify what types of investments or strategies may be suitable for you. Please note: if we cannot verify the information you provide, we may be required to restrict or deny your account.

Name:	<input style="width: 95%;" type="text"/>	Date:	<input style="width: 95%;" type="text"/>
Name:	<input style="width: 95%;" type="text"/>	Date:	<input style="width: 95%;" type="text"/>

Before signing this document, verify that the content you are signing is correct.

Please email to: accounts@osccorporation.com